



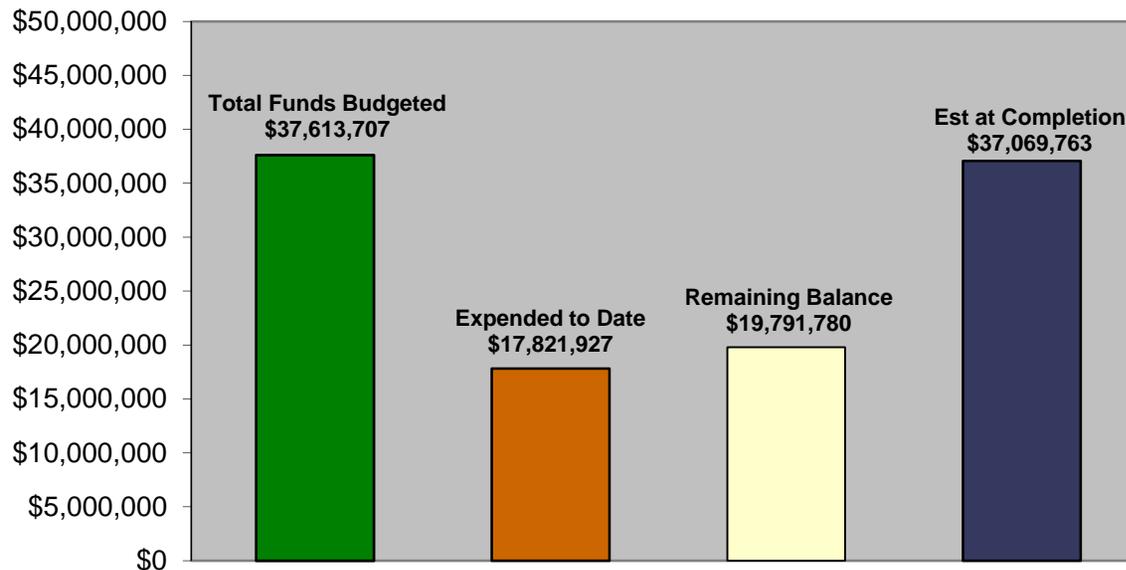
County of Orange ~ Information Technology Quarterly IT Project Status Report Detail Fiscal Year 2013-14, 3rd Quarter

Executive Summary

The Quarterly IT Project Status Report Detail provides an inventory of all County IT projects with a value of \$150,000 or more during the 3rd quarter reporting period of January 1 – March 31, 2014. The report includes a high-level summary of the budget and schedule status, as well as a project listing with key accomplishments and status comments.

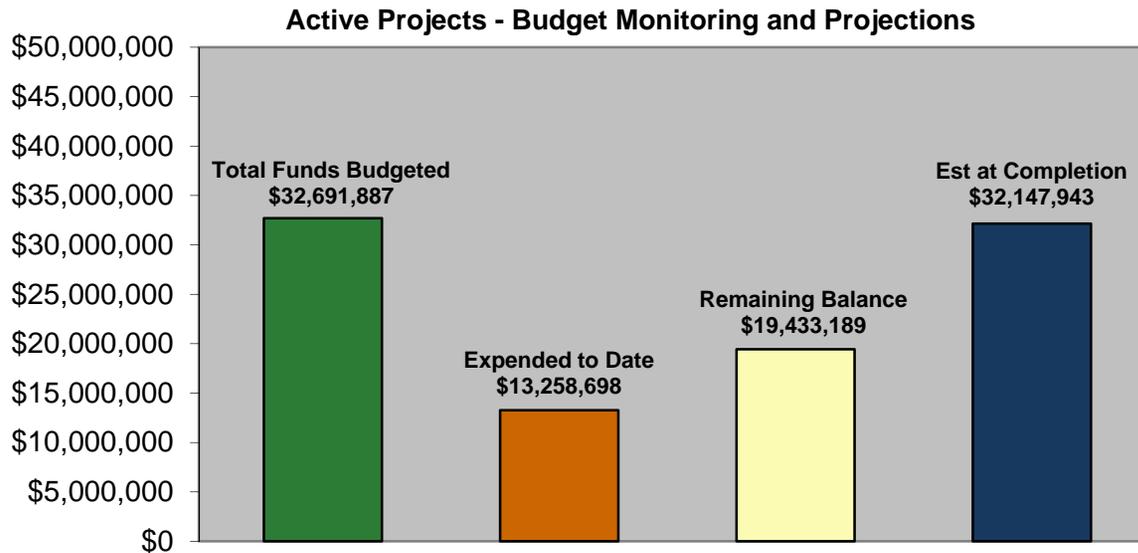
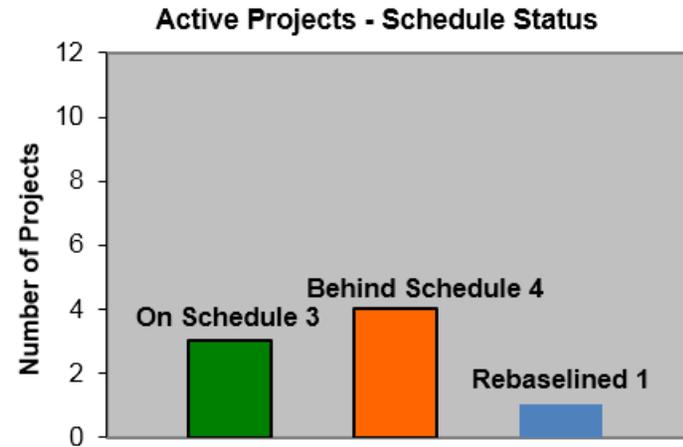
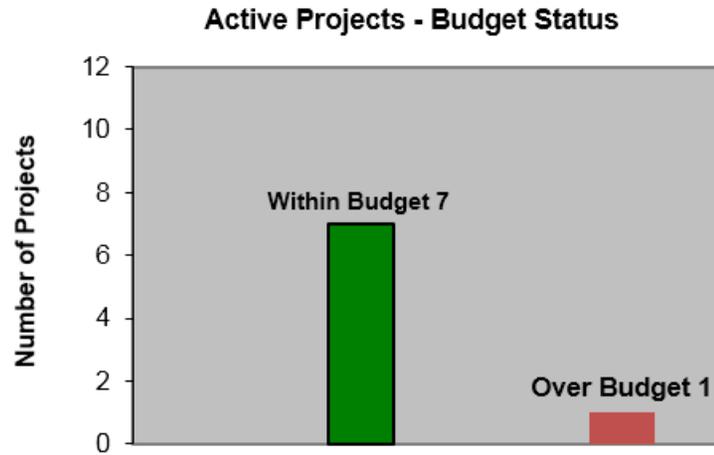
IT Projects	Budgeted Funds	Expended to Date	Remaining Balance	Estimated at Completion	Within or Under Budget	Over Budget	Rebaselined Budget	On or Ahead of Schedule	Behind Schedule	Rebaselined Schedule
8 Active	\$32,691,887	\$13,258,698	\$19,433,189	\$32,147,943	7	1	-	3	4	1
2 Completed	\$4,521,820	\$4,521,820	\$0	\$4,521,820	-	-	-	-	-	-
1 Future	\$400,000	\$41,409	\$358,591	\$400,000	-	-	-	-	-	-
11 Total Projects	\$37,613,707	\$17,821,927	\$19,791,780	\$37,069,763	7	1	-	3	4	1

Total Projects - Budget Monitoring and Projections



Quarterly IT Project Status Report Detail
3rd Quarter, January – March 2014

8 Active Projects - Budget and Schedule Summary



Quarterly IT Project Status Report Detail
3rd Quarter, January – March 2014

#	Department	Project Name	Status B / S *	Funding Source	Original Approved Budget	Expended/ Encumbered Funds to Date	Remaining Balance	Estimated Cost at Completion	DESIGN Original Schedule	BUILD Original Schedule
					<u>Actual Budget</u>				<u>Actual Schedule</u>	<u>Actual Schedule</u>
ACTIVE PROJECTS – CEO CATEGORY										
1	CEO Budget	BRASS to Performance Budgeting Upgrade	1/2	Fund 279 – OCWR (to be repaid by the General Fund)	\$1,700,000 <u>\$1,700,000</u>	\$1,133,497	\$566,503	\$1,156,056	Jun 2013 – Sep 2013 <u>Jun 2013 – Sep 2013</u>	Sep 2013 – Jan 2014 <u>Sep 2013 – Jun 2014</u>
	Project Manager: Mitch Tevlin									
	Description:	Upgrade the existing Countywide budgeting information system, BRASS, to Performance Budgeting (PB). BRASS has been utilized for preparing County budgets and Strategic Financial Plans since 2002. This upgrade includes best in breed software and state of the art hardware that will provide the foundation for Countywide budgeting for the next ten years.								
	Schedule Phase:	Build Schedule – Execution								
	Key Accomplishments:	Significant progress was made in the implementation of the new Performance Budgeting software this quarter, including the completion of the system interfaces, development of the training plan and User Acceptance Testing. The vendor delivered several software updates that resolved most of the functional issues, with a final patch expected to be received in April.								
	Schedule/Budget Note:	The project is on target to be completed by the revised June completion. The project is on budget. [See the detailed Risk Assessment Report for this project]								

Quarterly IT Project Status Report Detail
3rd Quarter, January – March 2014

#	Department	Project Name	Status B / S *	Funding Source	Original Approved Budget	Expended/ Encumbered Funds to Date	Remaining Balance	Estimated Cost at Completion	DESIGN	BUILD
					<u>Actual Budget</u>				Original Schedule	Original Schedule
ACTIVE PROJECTS – CEO CATEGORY										
2	CEO/IT	Countywide Identity Management – Phase I & Phase II (OCid)	2/2	289	\$728,030	\$880,612	\$27,515	\$908,127	July 2011 – Dec 2011	Jan 2012 – Jun 2013
	Project Manager: Jim Mata				<u>\$908,127</u>				<u>July 2011 – Dec 2011</u>	<u>Jan 2012 – Jun 2014</u>
	Description:	<p><u>Phase I</u> - This project deploys an efficient enterprise approach to the management of County users and their access to County IT systems and applications. The project leverages user self-service workflows to establish/manage unique identities for all County workforce members so that they can login to both County and non-County systems and applications with fewer usernames and passwords, while also ensuring County has accurate contact information and meets new regulatory compliance requirements. This phase includes implementation of the new Identity Management platform and application, HR database synchronization, roll-out to several Agencies, and the establishment of specifications for Agencies to integrate their internal applications.</p> <p><u>Phase II</u> – This extends the accomplishments of Phase I to expand the scope of application integrations to the Identity Management directory and provide new single sign-on capabilities. It also enhances the ability for County IT to control user access to County systems, meet Security compliance requirements and reduces the amount of support required for password resets and other user account management.</p>								
	Schedule Phase:	Build Schedule – Executing/Controlling								
	Key Accomplishments:	<p>The project team worked with Agencies to implement Active Directory authentication standards that were defined in the OCid roadmap and necessary for single sign on. All but four Agencies have adopted these standards. Standards for employee data that must be synchronized across Agencies were also defined. The project team will work with Agencies to adopt these standards and implement procedures/processes to maintain them.</p> <p>Work has also begun with Agencies to onboard several new applications to the identity management solution. Probation will use OCid to deploy a new DOJ application to their staff, and OC Public Works has begun work on integrating one of their applications. The project team is also discussing with Xerox ways to tie OCid to the County's new sourced VoIP solution that will simplify implementation and improve operational efficiency.</p>								
	Schedule/Budget Note:	This project is on target to meet the revised June 2014 completion of Phases I and II. The budget is expected to remain at \$908,127 through FY 2013-14.								

Quarterly IT Project Status Report Detail
3rd Quarter, January – March 2014

#	Department	Project Name	Status B / S *	Funding Source	Original Approved Budget	Expended/ Encumbered Funds to Date	Remaining Balance	Estimated Cost at Completion	DESIGN Original Schedule	BUILD Original Schedule
					<u>Actual Budget</u>				<u>Actual Schedule</u>	<u>Actual Schedule</u>
ACTIVE PROJECTS – CEO CATEGORY										
3	CEO/IT	Enterprise SharePoint Portal Design and Implementation	1/3	038	\$497,730 <u>\$497,730</u>	\$497,730	\$0	\$497,730	Jul 2012 – Sep 2012 <u>Sep 2012 – Feb 2014</u>	Sep 2013 – Dec 2013 <u>Feb 2014 – Jun 2014</u>
	Project Manager: Lynne Halverson									
	Description:	This project includes the design and implementation of an Enterprise SharePoint Portal (Employee Collaboration). This includes the implementation of a SharePoint technical solution as a foundation for Enterprise SharePoint availability Countywide. This project also includes the redesign of the existing County Intranet (“blue screen”); enabling of baseline taxonomy, governance and standard SharePoint features; and establishing SharePoint Administration and Operation & Maintenance protocols.								
	Schedule Phase:	Build Schedule – Executing								
	Key Accomplishments:	The project Design Phase was completed on January 7, 2014. A work order for the Build and Deployment Phase was executed on February 10, 2014, and the phase formally launched on February 28 th . The “Build” schedule has been rebaselined. The County project team and the vendor (SAIC/Perficent) have been working steadily to provide the deliverables as outlined in the Scope of Work, including the look and feel and site functionality for the redesigned County Intranet, which has been branded as “IntraOC”. Content Migration from existing Intranet sites to the new site is also on track, with Agencies/Departments working in conjunction with the project team to identify content owners and determine the disposition of existing content. The project team is working to establish a cross-Agency/Department early adopter group with which to deploy Office 365 (O365) and the new Intranet prior to a Countywide launch. Only those Agencies/Departments that have upgraded their Microsoft Office licensing to the O365 cloud offering will be able to participate. The deployment of O365 as the method by which IntraOC will be accessed has introduced additional complexities to this project and will necessitate a separate strategy and roadmap. However, O365 deployment should not impede the ability to deliver Enterprise SharePoint and the County’s redesigned Intranet within the rebaselined schedule.								
	Schedule/Budget Note:	The project is now on schedule per the approved, rebaselined “Build” schedule and is within budget.								
4	CEO/IT	Voice & Data Network Transformation	1/1	289	\$13,765,191 <u>\$13,765,191</u>	\$3,180,247	\$10,584,944	\$13,765,191	Sep 2013 – Mar 2014 <u>Sep 2013 – Mar 2014</u>	Mar 2014 – Apr 2016 <u>Mar 2014 – Apr 2016</u>
	Project Manager: Jim Mata									
	Description:	This project includes the design and implementation of the new Countywide, converged Voice and Data Network. During this 25-month project, the voice and data network that is in place today will be replaced with a single, streamlined network that will handle both voice (telephones) and data. This will allow the County to eliminate duplicate circuits and maintenance costs, while increasing the network capacity to support County business requirements now and into the future. During this project, County users will receive new phone equipment and training to ensure a smooth transition.								
	Schedule Phase:	Design								
	Key Accomplishments:	During this quarter, Xerox and the County worked on finalizing the voice and data network transformation design and schedule. Due to County Agency facility moves and changes to the level of network redundancy required at certain sites, Xerox had to implement network design changes that could not be absorbed in the original plan. The impact of these changes has required Xerox to redesign the network core which will result in a 60-day Phase 0 network transformation critical-milestone delay. On-going changes could result in further delays. This risk has been escalated to the Project Executive leaders (CIO, COO and CRO) who are implementing a mitigation strategy that includes incorporating County facility moves into the overall project change-control process. Xerox completed the required project planning documents this quarter, including the Transformation Schedule, Communication Plan, Risk Management Plan, Quality Management Plan and Change Control management processes.								
	Schedule/Budget Note:	Project budget is on target. The Phase 0 milestone has been delayed 60 days; however, Xerox stated that subsequent milestones will not be impacted. The project completion remains on target this quarter.								

Quarterly IT Project Status Report Detail
3rd Quarter, January – March 2014

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					<u>Actual Budget</u>				<u>Actual Schedule</u>	<u>Actual Schedule</u>
ACTIVE PROJECTS – CEO CATEGORY										
5	CEO/Risk Management	Risk Management Information System	1/1	Fund 294 Property & Casualty ISF	\$206,169 <u>\$206,169</u>	\$83,294	\$122,875	\$206,169	Jan 2014 – Jun 2014 <u>Jan 2014 – Jun 2014</u>	Jul 2014 – Aug 2014 <u>Jul 2014 – Aug 2014</u>
	<p>Project Manager: Quazi Hashmi</p> <p>Description: CEO/Office of Risk Management plans to leverage the Risk Management Information System in order to effectively manage the Liability Self-Insurance Program and improve operational efficiencies through automation, system designed workflows and business rules as well as enhanced reporting capabilities. County Agencies/Departments will be provided with more comprehensive and informative reports regarding their loss activities and trends to aid them in their loss prevention efforts. They will be able to use this information to identify problem areas and high cost claims and implement operational changes in order to reduce both the frequency and severity of liability claims. This project will be implemented in one phase.</p> <p>Schedule Phase: Design</p> <p>Key Accomplishments: During this quarter, business and functional requirements were finalized.</p> <p>Schedule/Budget Note: The project is on schedule and within budget.</p>									
CEO Category Total:					Original Budget	\$16,897,120				
					Actual Budget	\$17,077,217	\$5,775,380	\$11,301,837	\$16,533,273	

*Status Code Budget Status (B): 0 – Under Budget 1 – Within Budget 2 – Over Budget 3 – Rebaselined Budget (approved project/budget change)
Schedule Status (S): 0 – Ahead Schedule 1 – On Schedule 2 – Behind Schedule 3 – Rebaselined Schedule

Quarterly IT Project Status Report Detail
3rd Quarter, January – March 2014

#	Department	Project Name	Status B / S *	Funding Source	Original Approved Budget	Expended/ Encumbered Funds to Date	Remaining Balance	Estimated Cost at Completion	DESIGN	BUILD
					<u>Actual Budget</u>				Original Schedule <u>Actual Schedule</u>	Original Schedule <u>Actual Schedule</u>
ACTIVE PROJECTS – AGENCY/DEPARTMENT CATEGORY										
6	Health Care Agency	Correctional Health Assessment Records and Tracking (CHART) System Replacement	1/2	Realignment Reserves	\$3,000,000 <u>\$2,724,000</u>	\$531,180	\$2,192,820	\$2,724,000	Jul 2011 – Jun 2012 <u>Jul 2011 – Dec 2012</u>	Feb 2013 – Dec 2013 <u>Feb 2013 – Mar 2014</u>
	Project Manager: John Crane									
	Description:	The current Correctional Health Assessment Records and Tracking (CHART) system for the adult and juvenile jails is over 10 years old and is written in an outdated programming language. In an attempt to fully digitize and modernize healthcare at the jail, the Health Care Agency (HCA) has embarked on a multi-year project to replace the CHART system with a comprehensive Electronic Health Record system (EHR). This project will include a requirements analysis, solicitation process and the selection of a vendor to implement the system which will assist in providing better continuity of care for inmates housed at the Orange County Jails and Juvenile Halls.								
	Schedule Phase:	Build Schedule – Executing/Controlling								
	Key Accomplishments:	During this quarter, TechCare was successfully implemented for the Adult and Juvenile environments. Included in this project were critical interfaces with the Adult Jail System and Correctional Institutional Pharmacy System, which were completed. There are some other non-critical interfaces that will continue to be worked on next quarter. Final payment will be made to the vendor in June 2014 when these are completed.								
	Schedule/Budget Note:	The project is on budget. The system was completed on the revised schedule, but will remain open until the final acceptance in June 2014. [See the detailed Risk Assessment Report for this project]								
7	Health Care Agency	Behavioral Health Services Electronic Health Records – Phase 1 of 3	1/1	MHS Act Prop 63-84%; State-8% Federal-2% Fees/License s/Other-4% NCC- 2%	\$12,312,194 <u>\$12,312,194</u>	\$6,427,551	\$5,884,643	\$12,312,194	Jan 2009 – Sep 2012 <u>Jan 2009 – Sep 2012</u>	Oct 2012 – June 2014 <u>Oct 2012 – June 2014</u>
	Project Manager: Adil Siddiqui									
	Description:	The overall objective of the EHR project is to develop and implement a completely integrated and interoperable Electronic Health Records (EHR) system for Behavioral Health Services. The system will allow Behavioral Health Services to transition from a paper-based clinical documentation management system to an electronic system. Development and implementation will occur in 3 phases, and is expected to complete in 2016. Phase 1 is expected to end in the first quarter of 2014, and includes primarily additional Cerner-based solutions and services, equipment, software and services that will follow the County's bid process. The EHR system includes all functional requirements developed by the Agency and will comply with all state and federal requirements and guidelines including HITECH Meaningful Use, HIPAA, and Privacy and Security. The cost for all 3 phases is budgeted at \$22,902,863.								
	Schedule Phase:	Build – Executing/Controlling								
	Key Accomplishments:	During this quarter, the final software code was delivered and testing and validation activities occurred. Several critical issues were identified and staff is working with the vendor to resolve these. Validation includes repeated testing to ensure stability and consistency. The Cerner EHR Meaningful Use team engaged and provided valuable input into the data collection and reporting requirements which are being incorporated into the application as necessary. The initial Behavioral Health Services clinical sites' networks were also upgraded to better support the EHR system.								
	Schedule/Budget Note:	The project is still on schedule to meet the proposed go-live. The project is on budget. [See the detailed Risk Assessment Report for this project]								

Quarterly IT Project Status Report Detail
3rd Quarter, January – March 2014

#	Department	Project Name	Status B / S *	Funding Source	Original Approved Budget <u>Actual Budget</u>	Expended/ Encumbered Funds to Date	Remaining Balance	Estimated Cost at Completion	<i>DESIGN</i> Original Schedule <u>Actual Schedule</u>	<i>BUILD</i> Original Schedule <u>Actual Schedule</u>
ACTIVE PROJECTS – AGENCY/DEPARTMENT CATEGORY										
8	OCPW	Computerized Asset Management and Maintenance Software	1/2	Road/ Flood, CUF, FacOps, Real Estate	\$578,476 <u>\$578,476</u>	\$524,587	\$53,889	\$578,476	Jul 2010 – Sep 2010 <u>Jul 2010 – Sep 2010</u>	Oct 2010 – Dec 2011 <u>Sep 2011 – July 2014</u>
	Project Manager: Sheila Carter									
	Description:		OC Public Works utilizes an automated asset and work management system called MaintStar. This project will upgrade and enhance the capabilities of the existing system in the Operation & Maintenance Section. Additionally, it will provide the Facilities Operations and Real Estate Sections automated functionality to further streamline operational processes such as asset management, preventative maintenance, work planning, work order and request processing and field operations. This project is scheduled for implementation in three phases. At the completion of all phases, OC Public Works will have improved technical capability to provide the CEO and BOS access to system information and reports.							
	Schedule Phase:		Build Schedule - Monitoring/Controlling Phase							
	Key Accomplishments :		During this quarter the MaintStar software was upgraded to version 12. Training of additional Facilities staff was completed. Staff is running parallel in MaintStar and the existing systems to be sure all business processes are addressed. Final transition to MaintStar will begin in May.							
	Schedule/Budget Note:		The project is on target to meet the revised schedule. The project is on budget.							
Agency/Department Category Total:					Original Budget	\$15,890,670				
					Actual Budget	\$15,614,670	\$7,483,318	\$8,131,352	\$15,614,670	
All Active Project Categories as of 03/31/2014										
Active Project Grand Total					Original Budget	\$32,787,790				
					Actual Budget	\$32,691,887	\$13,258,698	\$19,433,189	\$32,147,943	

Quarterly IT Project Status Report Detail
3rd Quarter, January – March 2014

#	Department	Project Name	Status B / S *	Funding Source	Original Approved Budget <u>Actual Budget</u>	Expended/ Encumbered Funds to Date	Remaining Balance	Estimated Cost at Completion	<i>DESIGN</i> Original Schedule <u>Actual Schedule</u>	<i>BUILD</i> Original Schedule <u>Actual Schedule</u>
COMPLETED PROJECTS										
9	CEO/IT	IT Sourcing Scope 1 Transition	1/1	289	\$3,572,510 <u>\$3,572,510</u>	\$3,572,510	\$0	\$3,572,510	Sep 2013 – Oct 2013 <u>Sep 2013 – Oct 2013</u>	Oct 2013 – Feb 2014 <u>Oct 2013 – Feb 2014</u>
	<p>Project Manager: Lynne Halverson</p> <p>Description: The objective of this 120-day project is to transition IT services, processes and tools provided by the existing IT vendor, Xerox (ACS), to the new IT Managed Services vendor, SAIC. These services include Data Center and Security Operations, Service Desk, Desktop Support, and Application Services for in-scope Agencies. The key difference of this new IT sourcing model is that the focus will be on ensuring results (achieving service level requirements) rather than managing resources.</p> <p>Schedule Phase: Design Schedule – Project Planning</p> <p>Key Accomplishments : The Scope 1 Transition project was completed this quarter, with all contract deliverables being met on schedule. Effective February 3, 2014, SAIC assumed responsibility for Scope 1 IT services (Data Center, Storage, Servers, Applications, Desktop Support and Service Desk).</p> <p>Schedule/Budget Note: Project was completed within budget and on schedule. [See the detailed Risk Assessment Report for this project]</p>									
10	CEO/IT	IT Sourcing Scope 2 Transition	1/1	289	\$949,310 <u>\$949,310</u>	\$949,310	\$0	\$949,310	Sep 2013 – Oct 2013 <u>Sep 2012 – Oct 2013</u>	Oct 2013 – Mar 2014 <u>Oct 2013 – Mar 2014</u>
	<p>Project Manager: Jim Mata</p> <p>Description: The objective of this 175-day project is to transition the voice and data network services, processes and tools provided by the existing staff augmentation contract to the new IT Managed Services contract with Xerox. This includes transition of the Countywide voice and data network and Agency Local Area Networks.</p> <p>Schedule Phase: Design Schedule – Project Planning</p> <p>Key Accomplishments : The Xerox and County project team successfully completed network asset inventories, knowledge transfer of the existing Countywide voice and data networks and implemented the tools necessary to manage these going forward. Although some interim transition milestone dates were completed a little late, Xerox was able to make up the time and completed the transition of voice and data network services on schedule. Effective March 17, 2014 Xerox assumed responsibility for Scope 2 services (support of the existing Countywide voice and data networks).</p> <p>Schedule/Budget Note: Project was completed within budget and on schedule. [See the detailed Risk Assessment Report for this project]</p>									
Completed Projects Total Original Budget					\$4,521,820					
Actual Budget					\$4,521,820	\$4,521,820	\$0	\$4,521,820		

*Status Code Budget Status (B): 0 – Under Budget 1 – Within Budget 2 – Over Budget 3 – Rebaselined Budget (approved project/budget change)
Schedule Status (S): 0 – Ahead Schedule 1 – On Schedule 2 – Behind Schedule 3 – Rebaselined Schedule

Quarterly IT Project Status Report Detail
3rd Quarter, January – March 2014

#	Department	Project Name	Status B / S *	Funding Source	Original	Expended/	Remaining	Estimated	DESIGN	BUILD
					Approved Budget	Encumbered			Balance	Cost at
					<u>Actual Budget</u>	Funds to Date		Completion	<u>Actual Schedule</u>	<u>Actual Schedule</u>
FUTURE PROJECTS										
11	CEO/IT	CAPS+ DR Solution	ON HOLD	038 – Data Sys	\$400,000	\$41,409	\$358,591	\$400,000	TBD	TBD
Description:		CEO/IT was chartered by the CEO and Board of Supervisors to maintain a Disaster Recovery Program to restore critical County IT assets following a disaster. In FY10-11 the Board approved funding for the DR Program, which included scope for the ongoing operations & maintenance of the DR solutions deployed in the previous year, and to establish DR capabilities for both the CAPS+ Finance and CAPS+ HR/Payroll systems. Due to changes in the CAPS+ HR/Payroll project schedule, system and capacity requirements needed to size a DR solution; it was not completed in FY 10-11. This project was moved to FY 11-12 since both the CAPS+ Finance and HR/Payroll systems are in production and a DR solution can be properly designed and implemented.								
Schedule Phase:		Design Schedule – Planning								
Key Accomplishments:		CEO/IT received a proposal and pricing from the Scope 1 IT Sourcing vendor (SAIC) for the CAPS+ disaster recovery (DR) solution in January 2013. The CAPS Steering Committee (CSC) met in January to review and vote on the proposal. Based on the pricing estimates and solution summary provided by the vendor, the CSC voted to approve the proposal and pricing for inclusion in the County's Scope 1 IT Sourcing contract negotiations. The County's contract with SAIC was approved on May, 2013. SAIC was tasked with producing a timeline and project plan for implementation of the CAPS+ DR solution after completion of the Scope 1 IT Sourcing Transition on February 3, 2014. In developing its plan and timeline, SAIC has realized that there are risks attached to developing and deploying a CAPS+ DR solution based on the current CAPS+ production environment. The current environment is not optimized, and the time it would take to recover this environment would be well in excess of the established Recovery Time Objective (RTO) for CAPS+ (72 hours). Accordingly, County IT and SAIC will be meeting with representatives of Auditor-Controller as well as the CSC to determine if it would be more cost efficient to dovetail the design and deployment of the CAPS+ DR solution with the design and deployment of the CAPS+ AIX (production environment) refresh. This issue will be reviewed and a strategy established in 4Q FY 2013-14.								
Schedule/Budget Note:		PROJECT IS ON HOLD.								
Future Projects Total Original Budget					\$400,000					
Actual Budget					\$400,000	\$41,409	\$358,591	\$400,000		
All Active, Closed & Future IT Projects as of 03/31/2014										
Grand Total Original Budget					\$32,709,610					
Actual Budget					\$37,613,707	\$17,821,927	\$19,791,780	\$37,069,763		